## 301364 - IFCI FINANCIAL SERVICES LIMITED

## Annexure C

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites

## Data for every month ending

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |  | Average <br> Resolution <br> time^ <br> (in days) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | Pending for less than 3 months | Pending for more than 3 months |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |  | 8 |
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil |  | Nil |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil |  | Nil |
| 3 | Depositories | Nil | Nil | Nil | Nil | Nil |  | Nil |
| 4 | Other <br> Sources (if any) | Nil | Nil | Nil | Nil | Nil |  | Nil |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil |  | Nil |

## Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | Dec - 2022 | Nil | Nil | Nil | Nil |
| 2 | Jan - 2023 | Nil | Nil | Nil | Nil |
| 3 | Feb-2023 | Nil | Nil | Nil | Nil |
| 4 | Mar-2023 | Nil | Nil | Nil | Nil |
| 5 | Apr - 2023 | Nil | Nil | Nil | Nil |
| 6 | May - 2023 | Nil | Nil | Nil | Nil |
| 7 | June - 2023 | Nil | 1 | Nil | 1 |
| 8 | July - 2023 | 1 | Nil | 1 | Nil |
| 9 | Aug - 2023 | Nil | Nil | Nil | Nil |
| 10 | Sep-2023 | Nil | Nil | Nil | Nil |
| 11 | Oct-2023 | Nil | Nil | Nil | Nil |
| 12 | Nov-2023 | Nil | Nil | Nil | Nil |
|  | Grand Total | 1 | 1 | 1 | 1 |

*Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if any. ${ }^{\wedge}$ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 2017-18 | Nil | Nil | Nil | Nil |
| 2 | 2018-19 | Nil | Nil | Nil | Nil |
| 3 | 2019-20 | Nil | Nil | Nil | Nil |
| 4 | 2020-21 | Nil | Nil | Nil | Nil |
| 5 | 2021-22 | Nil | Nil | Nil | Nil |
| 6 | 2022-23 | Nil | 1 | 1 | Nil |
| 7 | 2023-24 | Nil | 1 | 1 | Nil |
|  | Grand Total | Nil | 2 | 2 | Nil |

